

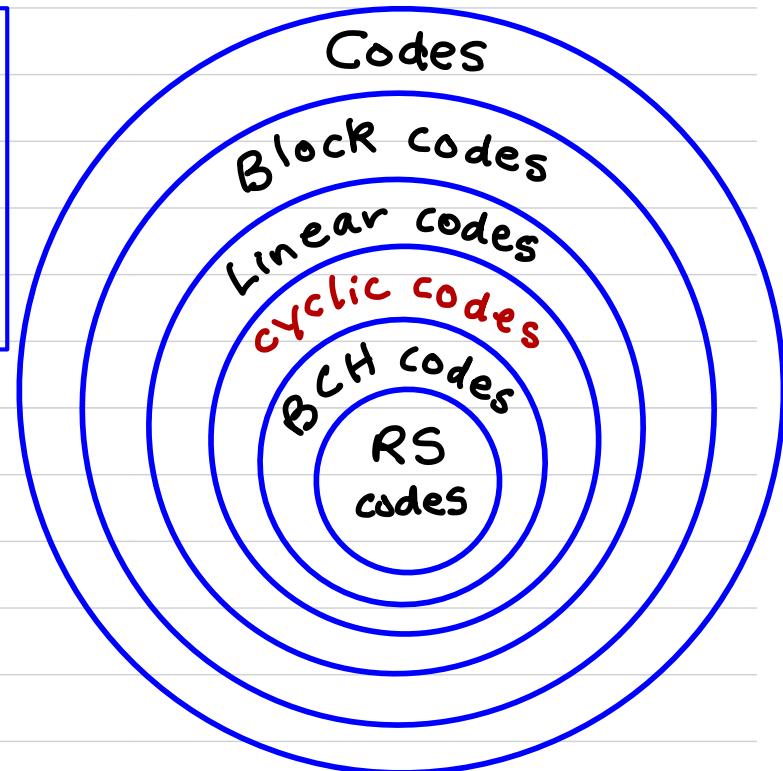
# V5a CYCLIC CODES

**DEFINITION** A subspace  $S$  of  $V_n(F)$  is cyclic if  $(a_0, a_1, \dots, a_{n-1}) \in S$  implies that  $(a_{n-1}, a_0, a_1, \dots, a_{n-2}) \in S$ .

A cyclic code is a cyclic subspace of  $V_n(F)$ .

NEXT GOAL:

An algebraic characterization of cyclic subspaces of  $V_n(F)$  as ideals of the polynomial ring  $R = F[x]/(x^n - 1)$ .



## THE POLYNOMIAL RING $R = F[x]/(x^n - 1)$

- Let  $R = F[x]/(x^n - 1)$  where  $F = \mathbb{GF}(q)$ . Then  $R$  is a commutative ring (but not a field since  $x^n - 1$  is reducible over  $F$ ).

- We have the following bijection between  $V_n(F)$  and  $R$ :

$$a = (a_0, a_1, a_2, \dots, a_{n-1}) \iff a(x) = a_0 + a_1x + a_2x^2 + \dots + a_{n-1}x^{n-1}.$$

- This bijection preserves vector addition and scalar multiplication:  
 If  $a, b \in V_n(F)$  and  $\lambda \in F$ , then  $a+b \longleftrightarrow a(x) + b(x)$   
 and  $\lambda a \longleftrightarrow \lambda a(x)$ .
- We can use this bijection to define a natural multiplication on  $V_n(F)$ :

**DEFINITION** Let  $a, b \in V_n(F)$ . Then  $a \cdot b = c \in V_n(F)$ , where  $c \Leftrightarrow c(x) = a(x) \cdot b(x) \pmod{x^n - 1}$ .

## WHY CHOOSE $x^{n-1}$ AS THE MODULUS IN $R$ ?

- Let  $a = (a_0, a_1, \dots, a_{n-1}) \in V_n(F)$ , and let  $a(x)$  be the associated polynomial in  $R$ . Then

$$\begin{aligned} x \cdot a(x) &= a_0 x + a_1 x^2 + \dots + a_{n-2} x^{n-1} + a_{n-1} x^n \\ &\equiv a_{n-1} + a_0 x + a_1 x^2 + \dots + a_{n-2} x^{n-1} \pmod{x^{n-1}} \\ \iff (a_{n-1}, a_0, a_1, \dots, a_{n-2}). \end{aligned}$$

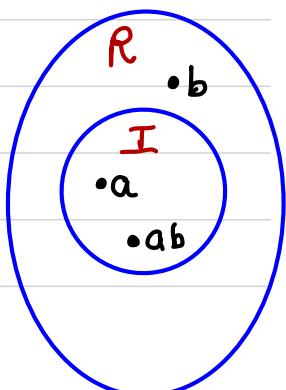
- So, multiplication by  $x$  of a polynomial in  $R$  corresponds to a (right) cyclic shift of the associated vector in  $V_n(F)$ .

**DEFINITION** Let  $R$  be a finite commutative ring.

A non-empty subset  $I$  of  $R$  is an ideal of  $R$  if

(i)  $a, b \in I \Rightarrow a+b \in I$ , and (ii)  $a \in I, b \in R \Rightarrow a \cdot b \in I$ .

**EXAMPLE**  $\{0\}$  and  $R$  are (trivial) ideals of  $R$ .



**THEOREM** (algebraic characterization of cyclic subspaces of  $V_n(F)$ )

Let  $S$  be a non-empty subset of  $V_n(F)$ , and let  $I$  be the set of associated polynomials in  $R = F[x]/(x^n - 1)$ . Then  $S$  is a cyclic subspace of  $V_n(F)$  iff  $I$  is an ideal of  $R$ .

PROOF ( $\Rightarrow$ ) Suppose  $S$  is a cyclic subspace of  $V_n(F)$ . Since  $S$  is non-empty and closed under addition, so is  $I$ . Now, let  $a(x) \in I$  and  $b(x) = b_0 + b_1x + \dots + b_{n-1}x^{n-1} \in R$ . Since  $S$  is a cyclic subspace,  $x \cdot a(x) \in I$ . Hence,  $x^i a(x) \in I$  for all  $0 \leq i \leq n-1$ . Since  $S$  is closed under scalar multiplication, we have  $b_i x^i a(x) \in I$  for all  $0 \leq i \leq n-1$ . Finally, since  $S$  is closed under addition,  $\sum_{i=0}^{n-1} b_i x^i a(x) = b(x)a(x) \in I$ . Thus,  $I$  is an ideal of  $R$ .



( $\Leftarrow$ ) Suppose  $I$  is an ideal of  $R$ . Since  $I$  is non-empty and closed under addition, so is  $S$ . Since  $I$  is closed under multiplication by constant polynomials (i.e., elements of  $F$ ),  $S$  is closed under scalar multiplication. Thus,  $S$  is a subspace of  $V_n(F)$ . Finally, since  $I$  is closed under multiplication by  $x$ ,  $S$  is closed under right cyclic shifts. Thus,  $S$  is a cyclic subspace of  $V_n(F)$ .  $\square$

So, to study cyclic subspaces of  $V_n(F)$ , we proceed to study ideals of  $R = F[x]/(x^n - 1)$ .

## V5b IDEALS OF $R = F[x]/(x^n - 1)$

**DEFINITIONS** Let  $R$  be a (finite commutative) ring, and let  $g \in R$ .

- Let  $\langle g \rangle = \{g \cdot r : r \in R\}$ . Then  $\langle g \rangle$  is an ideal of  $R$ , called the ideal generated by  $g$ .
- An ideal  $I$  of  $R$  is principal if  $I = \langle g \rangle$  for some  $g \in I$ .
- $R$  is a principal ideal ring if every ideal of  $R$  is principal.

**THEOREM**  $R = F[x]/(x^n - 1)$  is a principal ideal ring.

**PROOF** Let  $I$  be an ideal of  $R$ .

• If  $I = \{0\}$ , then  $I = \langle 0 \rangle$ .

• If  $I \neq \{0\}$ , then let  $g(x)$  be a nonzero polynomial of smallest degree in  $I$ . We now show that  $I = \langle g \rangle$ .

Let  $h \in I$ . We can write  $h(x) = l(x)g(x) + r(x)$ , where  $l, r \in F[x]$  and  $\deg(r) < \deg(g)$ . Now,  $h(x), l(x)g(x) \in I$  implies that

$h(x) - l(x)g(x) \in I$ , so  $r(x) \in I$ . But  $\deg(r) < \deg(g)$ , so we must have  $r(x) = 0$ . Thus,  $h(x) = l(x)g(x)$ , so  $h \in \langle g \rangle$ . Thus,  $I \subseteq \langle g \rangle$ .

And, since  $g \in I$ , we have  $\langle g \rangle \subseteq I$ . Thus,  $I = \langle g \rangle$ .  $\square$

NOTE In the previous proof, we can take  $g(x)$  to be monic, i.e. a polynomial whose leading coefficient is 1. This is because if  $g(x) = g_0 + g_1x + \dots + g_tx^t \in I$  where  $g_t \neq 0$ , then  $g_t^{-1}g(x) = g_0g_t^{-1} + g_1g_t^{-1}x + \dots + x^t \in I$  is monic.

DEFINITION Let  $I$  be an ideal of  $R = F[x]/(x^n - 1)$ .

- If  $I = \{0\}$ , then  $x^n - 1$  is the canonical generator of  $I$ .
- If  $I \neq \{0\}$ , then the monic polynomial of smallest degree in  $I$  is the canonical generator of  $I$ .

- The following theorem justifies the qualifier "the" in the above definition.

**THEOREM** Let  $I$  be a nonzero ideal of  $R = F[x]/(x^n - 1)$ .

- 1) There is a unique monic poly.  $g(x)$  of smallest degree in  $I$ , and  $I = \langle g(x) \rangle$ .
- 2)  $g(x) \mid (x^n - 1)$  in  $F[x]$ .

**PROOF** 1) Let  $g(x), h(x)$  be monic polynomials of (the same) smallest degree in  $I$ .

Then  $g(x) - h(x) \in I$ . But  $\deg(g - h) < \deg(g)$ . Hence  $g(x) - h(x) = 0$ , so  $g(x) = h(x)$ . This proves uniqueness of  $g(x)$ .

2) Write  $x^n - 1 = l(x)g(x) + r(x)$ , where  $l, r \in F[x]$ ,  $\deg(r) < \deg(g)$ .

Then  $r(x) = -l(x)g(x) + x^n - 1 \equiv -l(x)g(x) \pmod{x^n - 1}$ .

Thus,  $r(x) \in I = \langle g \rangle$ , and so we must have  $r(x) = 0$  since  $\deg(r) < \deg(g)$ . Hence  $g(x) \mid (x^n - 1)$ .  $\square$

**THEOREM** Let  $h(x)$  be a monic divisor of  $x^n - 1$  in  $F[x]$ . Then  $h(x)$  is the canonical generator of  $I = \langle h(x) \rangle$ .

**PROOF** • If  $h(x) = x^n - 1$ , then  $I = \{0\}$ .

• Suppose that  $h(x) \neq x^n - 1$ , so  $I \neq \{0\}$ . Let  $g(x)$  be the monic polynomial of smallest degree in  $I$ . Since  $h(x)$  generates  $I$ , we can write

$g(x) = a(x) h(x) \bmod (x^n - 1)$  for some  $a(x) \in F[x]$ ,  $\deg(a) < n$ .

Hence,  $g(x) = a(x) h(x) + l(x) (x^n - 1)$  for some  $l(x) \in F[x]$ .

Since  $h(x) \mid (x^n - 1)$ , we have  $h(x) \mid g(x)$ , so  $\deg(h) \leq \deg(g)$ .

But  $\deg(g) \leq \deg(h)$ , so  $\deg(g) = \deg(h)$ .

Finally, since  $g$  and  $h$  are both monic, we have  $g(x) = h(x)$ .

Hence,  $h(x)$  is the canonical generator of  $\langle h(x) \rangle$ .  $\square$

**COROLLARY** There is a 1-1 correspondence between ideals of  $R$  and monic divisors of  $x^n - 1$  over  $F$ , and thus also a 1-1 correspondence between cyclic subspaces of  $V_n(F)$  and monic divisors of  $x^n - 1$  over  $F$ .

**NOTE** (monic divisors of  $x^n - 1$  over  $F$ )

Let  $x^n - 1 = p_1(x)^{e_1} p_2(x)^{e_2} \dots p_t(x)^{e_t}$  be the complete factorization of  $x^n - 1$  over  $F$ , where  $p_1, p_2, \dots, p_t$  are monic irreducible polynomials in  $F[x]$ , and  $e_i \geq 1$ . Then the set of all monic divisors of  $x^n - 1$  over  $F$  is

$$\{ p_1(x)^{f_1} p_2(x)^{f_2} \dots p_t(x)^{f_t} : 0 \leq f_i \leq e_i \}.$$

Hence, the number of monic divisors of  $x^n - 1$  over  $F$  is

$$(e_1 + 1)(e_2 + 1) \dots (e_t + 1).$$

EXAMPLE Find all cyclic subspaces of  $V_3(\mathbb{Z}_2)$ .

SOLUTION The complete factorization of  $x^3-1$  over  $\mathbb{Z}_2$  is

$$x^3-1 = (x+1)(x^2+x+1).$$

So, the monic divisors of  $x^3-1$  over  $\mathbb{Z}_2$  are

$$g_1(x) = 1, \quad g_2(x) = x+1, \quad g_3(x) = x^2+x+1, \quad g_4(x) = (x+1)(x^2+x+1).$$

Hence,  $V_3(\mathbb{Z}_2)$  has 4 cyclic subspaces.

CYCLIC SUBSPACE

$$\langle g_1(x) \rangle = S_1 = \{000, 001, 010, 011, 100, 101, 110, 111\} = V_3(\mathbb{Z}_2).$$

3

$$\langle g_2(x) \rangle = S_2 = \{000, 110, 011, 101\}.$$

2

$$\langle g_3(x) \rangle = S_3 = \{000, 111\}.$$

1

$$\langle g_4(x) \rangle = S_4 = \{000\}$$

0

DIMENSION

## V5C DIMENSION AND A GM OF A CYCLIC CODE

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**THEOREM** Let  $g(x)$  be a monic divisor of  $x^n - 1$  over  $F$ , where  $F = GF(q)$ . Suppose  $\deg(g) = n - k$ . Then the cyclic subspace  $S$  of  $V_n(F)$  generated by  $g(x)$  has dimension  $k$ .

**PROOF** Recall that  $\langle g(x) \rangle = \{a(x)g(x) \bmod (x^n - 1) : a(x) \in F[x], \deg(a) < n\}$ . We claim that  $\langle g(x) \rangle = \{b(x)g(x) : b(x) \in F[x], \deg(b) < k\}$ . To see this, let  $h(x) = a(x)g(x) \bmod (x^n - 1)$  for some  $a(x) \in F[x], \deg(a) < n$ . Then we can write  $a(x)g(x) = h(x) + l(x)(x^n - 1)$  for some  $l(x) \in F[x]$ . Since  $g(x) \mid (x^n - 1)$ , we have  $g(x) \mid h(x)$ , so  $h(x) = b(x)g(x)$  for some  $b(x) \in F[x], \deg(b) < k$ . This proves the claim. Finally since there are  $q^k$  polynomials of degree  $< k$  in  $F[x]$ ,  $\langle g(x) \rangle$  has size  $q^k$ . Thus,  $S$  has dimension  $k$ .  $\square$

EXAMPLE Construct a  $(7,4)$ -cyclic code over  $F = \mathbb{Z}_2$ .

SOLUTION We need a monic divisor of  $x^7 - 1$  over  $\mathbb{Z}_2$  of degree 3.

Now, the complete factorization of  $x^7 - 1$  over  $\mathbb{Z}_2$  is

$$x^7 - 1 = (x+1)(x^3+x+1)(x^3+x^2+1).$$

Choose  $g(x) = x^3+x^2+1$ .

Then  $C = \langle x^3+x^2+1 \rangle$  is a  $(7,4)$ -cyclic code over  $\mathbb{Z}_2$ .

Let's find a GM for  $C$ . We need a basis for  $C$ , i.e. 4 linearly independent codewords in  $C$ . We can choose  $g(x), xg(x), x^2g(x), x^3g(x)$  to get

$$G_1 = \begin{bmatrix} 1 & 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 & 1 \end{bmatrix} \quad \begin{array}{l} \leftarrow g(x) \\ \leftarrow xg(x) \\ \leftarrow x^2g(x) \\ \leftarrow x^3g(x) \end{array}$$

NOTE:  $C$  is systematic

EXAMPLE (cont'd) Encode the message  $m = 1001$ .

SOLUTION

$$c = mG = [1001] \begin{bmatrix} 1 & 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 & 1 \end{bmatrix} = \underline{1010011}.$$

$$\begin{aligned} \text{Equivalently, } c(x) &= m(x)g(x) \\ &= (1+x^3)(1+x^2+x^3) \\ &= 1+x^2+x^5+x^6 \\ &\iff \underline{1010011}. \end{aligned}$$

## A GM FOR A CYCLIC CODE

**THEOREM** Let  $g(x)$  be the canonical generator of an  $(n, k)$ -cyclic code  $C$  over  $F$  (so  $g(x)$  is a monic divisor of  $x^n - 1$  over  $F$  of degree  $n-k$ ). Then a (non-standard) GM for  $C$  is

$$G_1 = \begin{bmatrix} g(x) \\ xg(x) \\ x^2g(x) \\ \vdots \\ x^{k-1}g(x) \end{bmatrix}_{k \times n}.$$

**ENCODING** Source messages are the polynomials in  $F[x]$  of degree  $< k$ .

If  $m(x) = m_0 + m_1x + \dots + m_{k-1}x^{k-1} \in F[x]$ , then the encoding of  $m$  w.r.t.  $G_1$  is  $c = [m_0, m_1, \dots, m_{k-1}] G_1 = m_0g(x) + m_1xg(x) + \dots + m_{k-1}x^{k-1}g(x)$ , so  $c(x) = m(x)g(x)$ . [NOTE: No reduction by  $x^n - 1$  is needed.]

## SUMMARY

- $V_n(F) \longleftrightarrow R = F[x]/(x^n - 1)$
- $a = (a_0, a_1, \dots, a_{n-1}) \in V_n(F) \longleftrightarrow a(x) = a_0 + a_1 x + \dots + a_{n-1} x^{n-1} \in R$
- $C$  cyclic subspace of  $V_n(F) \longleftrightarrow I$  ideal of  $R$
- $\dim(C) = k \longleftrightarrow$  The canonical generator of  $I$  has degree  $n-k$ .
- Encoding:  $c = mG$   $\longleftrightarrow c(x) = m(x)g(x)$ , where  $G = \begin{bmatrix} g(x) \\ xg(x) \\ \vdots \\ x^{k-1}g(x) \end{bmatrix}_{k \times n}$
- $C^\perp$  is cyclic  $\xleftarrow[V5d]$  The canonical generator is  $h^*(x)$ , where  $h(x) = (x^{n-1})/g(x)$ .
- Syndrome w.r.t.  $a \xleftarrow[V5e]$   $s(x) = t(x) \bmod g(x)$  particular PCM
- MISSING: Distance of a cyclic code.

# V5d THE DUAL CODE OF A CYCLIC CODE

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- Let  $C$  be an  $(n, k)$ -cyclic code over  $\mathbb{F}$  with canonical generator  $g(x)$ .

- Let  $g(x) = \underbrace{g_0}_{\neq 0} + \underbrace{g_1 x + \cdots + g_{n-k} x^{n-k}}_{=1} + \underbrace{g_{n-k+1} x^{n-k+1} + \cdots + g_{n-1} x^{n-1}}_{=0}$ .

**DEFINITION** The parity-check polynomial of  $C$  is  $h(x) = (x^{n-1})/g(x)$ .

- Let  $h(x) = \underbrace{h_0}_{\neq 0} + \underbrace{h_1 x + \cdots + h_k x^k}_{=1} + \underbrace{h_{k+1} x^{k+1} + \cdots + h_{n-1} x^{n-1}}_{=0}$ .

- Let  $a(x) = a_0 + a_1 x + \cdots + a_{n-1} x^{n-1} = g(x) h(x) \bmod (x^{n-1})$ .

Note that  $a(x) = 0$ . Equating coefficients of  $x^i$  for  $0 \leq i \leq n-1$ , gives:

$$a_i = 0 = g_0 h_i + g_1 h_{i-1} + \cdots + g_i h_0 + g_{i+1} h_{n-1} + g_{i+2} h_{n-2} + \cdots + g_{n-1} h_{i+1}$$

- Thus, the vector  $\bar{g} = (g_0, g_1, \dots, g_{n-1})$  is orthogonal to the vector  $\bar{h} = (h_{n-1}, h_{n-2}, \dots, h_1, h_0)$  and all its cyclic shifts.

## A PCM FOR C

- It follows that all cyclic shifts of  $g$  are orthogonal to all cyclic shifts of  $\bar{h}$ , where  $\bar{h}(x) = h_{n-1} + h_{n-2}x + \dots + h_2x^{n-3} + h_1x^{n-2} + h_0x^{n-1}$ .
- Recall the following GM for  $C$ :

$$G = \begin{bmatrix} g_0 & g_1 & \dots & g_{n-k} & 0 & \dots & 0 \\ 0 & g_0 & g_1 & \dots & g_{n-k-1} & g_{n-k} & \dots & 0 \\ \vdots & & & & \vdots & & & \\ 0 & 0 & \dots & \dots & g_0 & g_1 & \dots & g_{n-k} \end{bmatrix}_{k \times n}$$

- DEFINE:

$$H = \begin{bmatrix} h_k & h_{k-1} & \dots & h_0 & 0 & \dots & 0 \\ 0 & h_k & h_{k-1} & \dots & h_0 & \dots & 0 \\ \vdots & & & & & & \vdots \\ 0 & \dots & 0 & h_k & h_{k-1} & \dots & h_0 \end{bmatrix}_{(n-k) \times n}$$

- From the above observation, we have  $G H^T = 0$ .

Thus,  $C' \subseteq C^\perp$ , where  $C'$  is the code generated by the rows of  $H$ .  
 But  $\text{rank}(H) = n-k$  (since  $h_k = 1$ ), so  $\dim(C') = n-k = \dim(C^\perp)$ .  
 Hence,  $C' = C^\perp$ , and  $H$  is a (non-standard) PCM for  $C$ .

$C^\perp$  is CYCLIC

**DEFINITION** Let  $h(x) = h_0 + h_1 x + \dots + h_k x^k$  be a polynomial of degree  $k$  (so  $h_k \neq 0$ ). The reciprocal polynomial of  $h(x)$  is

$$h_R(x) = x^k h(\frac{1}{x}) = h_k + h_{k-1}x + \dots + h_1 x^{k-1} + h_0 x^k.$$

If  $h_0 \neq 0$ , we define  $h^*(x) = h_0^{-1} h_R(x)$ . [ $h^*(x)$  is monic]

**THEOREM** Let  $C$  be an  $(n, k)$ -cyclic code over  $F$  with canonical generator  $g(x)$ . Let  $h(x) = (x^n - 1)/g(x)$ . Then  $C^\perp$  is cyclic, with canonical generator  $h^*(x)$ .

**PROOF** We have  $g(x)h(x) = x^n - 1$ , so  $g(\frac{1}{x})h(\frac{1}{x}) = (\frac{1}{x})^n - 1$ . Multiplying both sides by  $x^n$  gives  $x^{n-k}g(\frac{1}{x})x^k h(\frac{1}{x}) = -(x^n - 1)$ . Hence  $g_R(x)h_R(x) = -(x^n - 1)$ , so  $h_R(x) \mid (x^n - 1)$ . Thus,  $h^*(x)$  is a monic divisor of  $x^n - 1$ . We saw on slide 121 that the  $(n, n-k)$ -cyclic code generated by  $h_R(x)$  (and thus also by  $h^*(x)$ ) is  $C^\perp$ .  $\square$

# V5e COMPUTING SYNDROMES

- Let  $C$  be an  $(n, k)$ -cyclic code over  $\mathbb{F}$  with canonical generator  $g(x)$ .
- We will find a "nice" PCM for  $C$ .

## i) Find a GM for $C$ of the form $[R | I_k]$

For  $0 \leq i \leq k-1$ , long division gives  $x^{n-k+i} = l_i(x)g(x) + t_i(x)$ ,  $\deg(t_i) < n-k$ ,  $\deg(l_i) < k$ .

Then,  $x^{n-k+i} - t_i(x) = l_i(x)g(x) \in C$ .

Thus, a GM for  $C$  is:

$$G = \begin{bmatrix} -r_0(x) + x^{n-k} \\ -r_1(x) + x^{n-k+1} \\ \vdots \\ -r_{k-1}(x) + x^{n-1} \end{bmatrix}_{R \times n} = \begin{bmatrix} -x^{n-k} \bmod g(x) \\ -x^{n-k+1} \bmod g(x) \\ \vdots \\ -x^{n-1} \bmod g(x) \end{bmatrix}_{R \times n} \begin{bmatrix} I_k \end{bmatrix}_{R \times n} = [R | I_k]$$

NOTE:  $\text{rank}(G) = R$ .

a) A (standard form) PCM for  $C$  is  $H = [I_{n-k} \mid -R^T]$ .

Note that  $H^T = \begin{bmatrix} I_{n-k} \\ -R \end{bmatrix}$ , so the rows of  $H^T$  (the columns of  $H$ )

are  $x^0 \bmod g(x)$ ,  $x^1 \bmod g(x)$ , ...,  $x^{n-1} \bmod g(x)$ .

**THEOREM** (computing syndromes) The syndrome of  $r \in V_n(F)$  w.r.t. the above PCM is  $s \in V_{n-k}(F)$ , where  $s(x) = r(x) \bmod g(x)$ .

**PROOF** Let  $r = (r_0, r_1, \dots, r_{n-1}) \in V_n(F)$ . The syndrome of  $r$  is  $s = Hr^T$ .  
 Hence  $s(x) = [r_0 x^0 \bmod g(x)] + [r_1 x^1 \bmod g(x)] + \dots + [r_{n-1} x^{n-1} \bmod g(x)]$   
 $= (r_0 + r_1 x + \dots + r_{n-1} x^{n-1}) \bmod g(x)$   
 $= r(x) \bmod g(x)$ .  $\square$

EXAMPLE Consider the  $(15, 9)$ -binary cyclic code  $C$  with canonical generator  $g(x) = 1 + x + x^2 + x^3 + x^6$ . Compute the syndrome of  $r = (1100 \ 1000 \ 1110 \ 0000)$ .

SOLUTION  $r(x) = 1 + x + x^4 + x^8 + x^9 + x^{10}$ .

$$\begin{array}{r}
 x^4 + x^3 + x^2 + x \quad r(x) \\
 \hline
 \overbrace{x^6 + x^3 + x^2 + x + 1}^{g(x)} \quad \overbrace{x^{10} + x^9 + x^8 + x^4 + x + 1}^{\text{Result of division}} \\
 x^{10} + x^7 + x^6 + x^5 + x^4 \\
 x^9 + x^8 + x^7 + x^6 + x^5 + x + 1 \\
 x^9 + x^6 + x^5 + x^4 + x^3 \\
 x^8 + x^7 + x^4 + x^3 + x + 1 \\
 x^8 + x^5 + x^4 + x^3 + x^2 \\
 x^7 + x^5 + x^2 + x + 1 \\
 x^7 + x^4 + x^3 + x^2 + x \\
 \hline
 s(x) \longrightarrow x^5 + x^4 + x^3 + 1
 \end{array}$$

$$\begin{array}{r}
 100 \ 1111 \quad \overbrace{1110 \ 0010011}^{\text{Result of division}} \\
 \hline
 1001111 \\
 1111100011 \\
 \hline
 1001111 \\
 110011011 \\
 \hline
 1001111 \\
 10100111 \\
 \hline
 1001111 \\
 111001
 \end{array}$$

Hence,  $s = 100111$ .

- The syndromes of a vector and its cyclic shifts are closely related.

**THEOREM** Let  $r \in V_n(F)$  with syndrome polynomial  $S(x) = S_0 + S_1x + \dots + S_{n-k-1}x^{n-k-1}$ .

The syndrome of  $x+r(x)$  is (i)  $xS(x)$ , if  $S_{n-k-1} = 0$   
 cyclic shift of  $r$  (ii)  $xS(x) - S_{n-k-1}g(x)$ , if  $S_{n-k-1} \neq 0$ .  
not cyclic shifts

**PROOF** Since  $r(x)$  has syndrome  $S(x)$ , we have  $r(x) = l(x)g(x) + S(x)$  for some  $l \in F[x]$ . Hence,  $x+r(x) = xl(x)g(x) + xS(x)$ . Since  $g(x) \mid (x^{n-1})$ ,  $xr(x)$  and  $x+r(x) \bmod (x^{n-1})$  leave the same remainder upon division by  $g(x)$ .

(i) If  $S_{n-k-1} = 0$ , then  $\deg(S) < n-k-1$ , so  $\deg(xS) < n-k$ . Hence,  $xS(x)$  is the (unique) remainder upon dividing  $x+r(x)$  by  $g(x)$ .

(ii) If  $S_{n-k-1} \neq 0$ , then  $xr(x) = xl(x)g(x) + xS(x) - S_{n-k-1}g(x) + S_{n-k-1}g(x)$   
 $= [xl(x) + S_{n-k-1}]g(x) + \underbrace{[xS(x) - S_{n-k-1}g(x)]}_{\bar{S}(x)}$ . Notice that  $\deg(\bar{S}) < n-k$ ,  
 so  $\bar{S}(x)$  is the unique remainder upon dividing  $x+r(x)$  by  $g(x)$ .  $\square$

- So, given the syndrome  $s$  of  $t$ , we can easily compute the syndromes of cyclic shifts of  $t$ .

- EXAMPLE (continuing the example on slide 125)

$$g(x) = x^6 + x^3 + x^2 + x + 1 \leftrightarrow 1111001, \quad t = 1100 \ 1000 \ 1110 \ 000, \quad s = 100111.$$

<u><math>i</math></u>	<u><math>s_i(x) = \text{syndrome of } x^i t(x)</math></u>
0	100111
1	101111
2	101011
3	101001
4	101000
5	010100
6	001010
7	000101
:	:

$$\begin{array}{r}
 010011 \\
 1111001 \\
 \hline
 1011110
 \end{array}$$

~~1011110~~

# V5f BURST ERROR CORRECTION

- Cyclic codes are good for correcting burst errors.

**DEFINITION** Let  $e \in V_n(F)$ . The cyclic burst length of  $e$  is the length of the shortest cyclic block of  $e$  that contains all its non-zero components.

**EXAMPLE** The cyclic burst length of  $e = 0110100010$  is 7.

**DEFINITION** A linear code  $C$  is a  $t$ -cyclic burst error correcting code if all cyclic burst errors of length  $\leq t$  are in different cosets of  $C$ , i.e. have different syndromes. The largest such  $t$  is called the cyclic burst error correcting capability of  $C$ .

EXAMPLE  $g(x) = x^6 + x^3 + x^2 + x + 1$  is the canonical generator for a  $(15, 9)$ -binary cyclic code  $C$ . In fact,  $C$  is a 3-cyclic burst error correcting code. To check this, we verify that all cyclic bursts of length  $\leq 3$  have different syndromes.

<sup>cyclic</sup> burst error	Syndrome	<sup>Integer</sup> representation	<sup>cyclic</sup> burst error	Syndrome	<sup>Integer</sup> representation
$0$	000006	0	$x^{12}$	010110	22
$x^0$	100006	32	$x^{13}$	001011	11
$x^1$	010000	16	$x^{14}$	111001	57
$x^2$	001000	8	$1+x$	110000	48
$x^3$	000106	4	$x(1+x)$	011000	24
$x^4$	000010	2	$\vdots$	$\vdots$	$\vdots$
$x^5$	000001	1	$x^{14}(1+x)$	011001	25
$x^6$	111100	60	$1+x+x^2$	111000	56
$x^7$	011110	30	$x(1+x+x^2)$	011100	28
$x^8$	001111	15	$\vdots$	$\vdots$	$\vdots$
$x^9$	111011	59	$x^{14}(1+x+x^2)$	001001	9
$x^{10}$	100001	33	$1+x^2$	101000	40
$x^{11}$	101100	44	$x(1+x^2)$	010100	20
			$\vdots$	$\vdots$	$\vdots$
			$x^{14}(1+x^2)$	101001	41

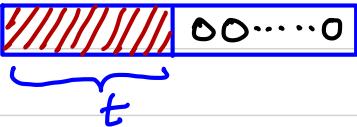
EXAMPLE  $g(x) = x^8 + x^7 + x^6 + x^4 + 1$  is the canonical generator for a  $(15, 7)$ -binary cyclic code  $C$ .  $C$  is a 4-cyclic burst error correcting code.

**THEOREM** (bounds on  $t$ , the cyclic burst error correcting capability of  $C$ )

Let  $C$  be an  $(n, k, d)$ -code over  $F = GF(q)$ . Then  $\lfloor (d-1)/2 \rfloor \leq t \leq n-k$ .

PROOF • Recall that the vectors of weight  $\leq \lfloor (d-1)/2 \rfloor$  lie in different cosets of  $C$ . In particular, all cyclic burst errors of length  $\leq \lfloor (d-1)/2 \rfloor$  lie in different cosets of  $C$ . Thus,  $t \geq \lfloor (d-1)/2 \rfloor$ .

• No two cyclic burst errors of length  $\leq t$  lie in the same coset of  $C$ . In particular, no two vectors in which all the nonzero components are in the first  $t$  coordinate positions can lie in the same coset of  $C$ . Since there are  $q^t$  such vectors and  $q^{n-k}$  cosets, we must have  $q^t \leq q^{n-k}$ . Hence,  $t \leq n-k$ .  $\square$



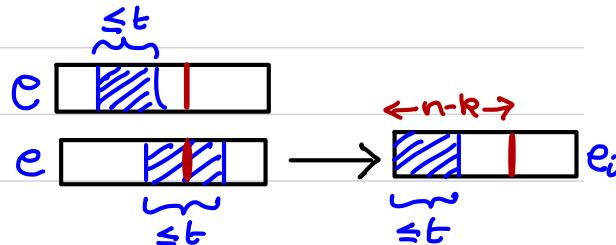
# V5g DECODING ALG. FOR CYCLIC BURST ERROR CORRECTING CODES

- Let  $C$  be an  $(n, k)$ -cyclic code over  $F$  with canonical generator  $g(x)$ . Let  $t$  be the cyclic burst error correcting capability of  $C$ , so  $t \leq n-k$ .
- Recall that  $H = [I_{n-k} \mid -R^T]$  is a standard form PCM for  $C$ . The syndrome of  $r \in V_n(F)$  w.r.t. this PCM is  $s(x) = r(x) \bmod g(x)$ .

- IDEA OF DECODING ALGORITHM Suppose the error vector  $e$  is a cyclic burst of length  $\leq t$ . Then, some cyclic shift of  $e$ , say  $e_i \leftrightarrow x^i e(x)$ , has all its nonzero components in the first  $n-k$  coordinate positions.

Then  $s_i = H e_i^T$  has (non)-cyclic burst length  $\leq t$ , and  $x = (s_i, 0)$  satisfies

$H x^T = s_i$ . Thus,  $e_i = (s_i, 0)$  and  $e(x) = x^{n-i} e_i(x)$ .



QUESTION How to compute  $s_i$ ? Let  $r = c + e$ , so  $x^i r - x^i e = x^i c \in C$ . Thus,  $x^i r$  and  $x^i e$  have the same syndrome. So, we compute syndromes of  $r_i \longleftrightarrow x^i + (x)$  for  $0 \leq i \leq n-1$ .

## ERROR TRAPPING ALGORITHM FOR CYCLIC BURST ERROR CORRECTING CODES

- Let  $r$  be the received word.
- For  $i$  from 0 to  $n-1$  do:
  - Compute  $s_i(x)$ , the syndrome of  $x^i r(x)$ .
  - If  $s_i(x)$  has non-cyclic burst length  $\leq t$  then
    - Let  $e(x) = x^{n-i} (s_i, 0)$ .
    - Decode  $r$  to  $c = r - e$  and STOP.
  - Reject  $r$ .

### CORRECTNESS

If the error vector is in fact a cyclic burst error of length  $\leq t$ , then the algorithm will make the correct decision.

EXAMPLE Recall that  $g(x) = x^6 + x^3 + x^2 + x + 1$  is the canonical generator for a  $(15, 9)$ -binary cyclic code with cyclic burst error correcting capability  $L=3$ . Decode  $r = 1110 \ 1110 \ 1100 \ 000$  using error trapping.

SOLUTION

<u><math>i</math></u>	<u><math>s_i(x) = \text{syndrome of } x^i \cdot r(x)</math></u>
0	110011 $\leftarrow s(x) = r(x) \bmod g(x)$
1	100101
2	101110
3	010111
4	110111
5	100111
6	101111
7	101011
8	101001
9	<u>101000</u>

burst of  
length  $\leq 3$

- So,  $e(x) = x^{15-9} S_9(x)$   
 $= x^6 (1+x^2)$   
 $= \underline{\underline{0000 \ 0010 \ 1000 \ 000}}.$

- Decode  $r$  to  $c = r - e$

$$= \underline{\underline{1110 \ 1100 \ 0100 \ 000}}$$

- Check:  $g(x) \mid c(x)$ .

## INTERLEAVING

PURPOSE: Increase the cyclic burst error correcting capability of a code.

- Let  $C$  be an  $(n, k)$ -code with cyclic burst error correcting capability  $t$ .

Suppose  $C_1 = (C_{11}, C_{12}, \dots, C_{1n}) \in C$ ,

$C_2 = (C_{21}, C_{22}, \dots, C_{2n}) \in C$ ,

$\vdots$   
 $C_s = (C_{s1}, C_{s2}, \dots, C_{sn}) \in C$ .

- Interleaving to a depth  $s$ : Instead of sending  $C_1, C_2, \dots, C_s$  in that order, transmit the columns of the above array:

$$C^* = (C_{11}, C_{21}, \dots, C_{s1}, | C_{12}, C_{22}, \dots, C_{s2}, | \dots, | C_{1n}, C_{2n}, \dots, C_{sn}).$$

Then, any cyclic burst error of length  $\leq st$  in  $C^*$  results in cyclic burst errors of length  $\leq t$  in each of the original codewords  $C_1, C_2, \dots, C_s$  (and these errors can be corrected).

**THEOREM** (interleaving) Let  $C$  be an  $(n, k)$ -code over  $\mathbb{F}$  with cyclic burst error correcting capability  $t$ . Let  $C^*$  be the code obtained by interleaving  $C$  to a depth  $s$ .

- 1)  $C^*$  is an  $(ns, ks)$ -code over  $\mathbb{F}$  with cyclic burst error correcting capability  $ts$ .
- 2) Suppose  $C$  is cyclic with canonical generator  $g(x)$ .  
Then  $C^*$  is cyclic with canonical generator  $g(x^s)$ .

**PROOF** (sketch) 1) Show that  $C^*$  is a vector subspace, has length  $ns$ , size  $q^{ks}$  (so dimension  $ks$ ), and cyclic burst error correcting  $ts$ .

2) Show that  $g(x^s)$  is a monic divisor of  $x^{ns} - 1$  of degree  $ns - ks$ , and that  $g(x^s) \mid c^*(x)$  for all  $c^* \in C^*$ .  $\square$

**EXAMPLE** (cf. slide 133)  $g(x^{100}) = x^{600} + x^{300} + x^{200} + x^{100} + 1$  is the canonical generator of a  $(1500, 900)$ -binary cyclic code with cyclic burst error correcting capability  $t = 300$ .