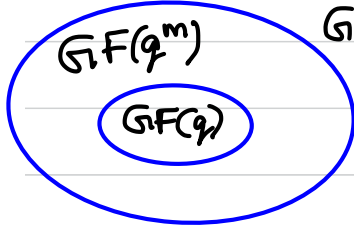


# V6a BCH CODES

-136-

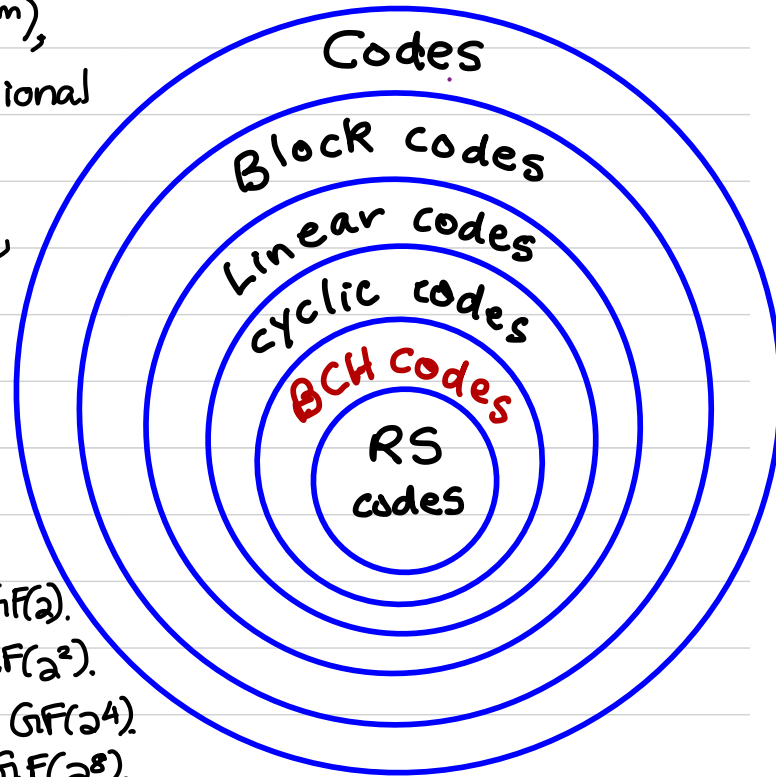
- Recall that  $\mathbb{Z}_p$  is a subfield of  $\text{GF}(p^m)$ , and we can view  $\text{GF}(p^m)$  as an  $m$ -dimensional vector space over  $\mathbb{Z}_p$ .

- More generally, for any prime power  $q$ ,  $\text{GF}(q)$  is a subfield of  $\text{GF}(q^m)$ , and we can view  $\text{GF}(q^m)$  as an  $m$ -dimensional vector space over  $\text{GF}(q)$ .



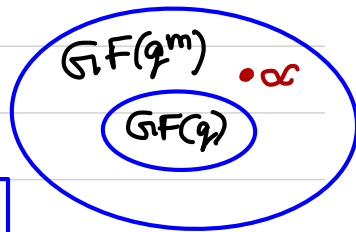
## • EXAMPLE

- $\text{GF}(2^{16})$  is a 16-dimensional v.s. over  $\text{GF}(2)$ .
- „ „ an 8-dimension v.s. over  $\text{GF}(2^2)$ .
- „ „ a 4-dimensional v.s. over  $\text{GF}(2^4)$ .
- „ „ a 2-dimensional v.s. over  $\text{GF}(2^8)$ .
- „ „ a 1-dimensional v.s. over  $\text{GF}(2^{16})$ .



## MINIMAL POLYNOMIALS

• We call  $\text{GF}(q^m)$  an extension field and  $\text{GF}(q)$  a subfield.



**DEFINITION** Let  $\alpha \in \text{GF}(q^m)$ . The minimal polynomial of  $\alpha$  over  $\text{GF}(q)$ , denoted  $m_\alpha(y)$ , is the monic polynomial of smallest degree in  $\text{GF}(q)[y]$  that has  $\alpha$  as a root.

**NOTES** 1) If  $m(y) \in \text{GF}(q)[y]$  is a nonzero polynomial with  $m(\alpha) = 0$ , and  $c$  is its leading coefficient, then  $\bar{m}(y) = c^{-1}m(y)$  is a monic polynomial in  $\text{GF}(q)[y]$  with  $\bar{m}(\alpha) = 0$  and  $\deg(\bar{m}) = \deg(m)$ .

2) More generally, multiplying a polynomial by a nonzero constant does not change the roots of the polynomial.

3) We have  $m_0(y) = y$ .



4) If  $\alpha \neq 0$ , then let  $t$  be the order of  $\alpha$  in  $\text{GF}(q^m)$ , and recall that  $t \mid (q^m - 1)$ . Then,  $\alpha$  is a root of  $y^t - 1 \in \text{GF}(q)[y]$ . Hence, there does indeed exist a monic polynomial of smallest degree in  $\text{GF}(q)[y]$  having  $\alpha$  as a root.

EXAMPLE Let's find the minimal polynomials over  $\text{GF}(2)$  of elements in  $\text{GF}(2^2) = \mathbb{Z}_2[x]/(x^2 + x + 1) = \{0, 1, x, x+1\}$ .

SOLUTION

- $m_0(y) = y.$
- $m_1(y) = y + 1,$
- $m_x(y) = y^2 + y + 1.$
- $m_{x+1}(y) = y^2 + y + 1.$

## PROPERTIES OF MINIMAL POLYNOMIALS

**THEOREM** Let  $\alpha \in \text{GF}(q^m)$ .

- 1) The minimal polynomial  $m_\alpha(y)$  of  $\alpha$  over  $\text{GF}(q)$  is unique.
- 2)  $m_\alpha(y)$  is irreducible over  $\text{GF}(q)$ .
- 3)  $\deg(m_\alpha) \leq m$ .
- 4) If  $f \in \text{GF}(q)[y]$ , then  $f(\alpha) = 0 \iff m_\alpha(y) \mid f(y)$ .

**PROOF** 1) Suppose  $m_1(y), m_2(y) \in \text{GF}(q)[y]$  are two monic polynomials of the same smallest degree with  $m_1(\alpha) = m_2(\alpha) = 0$ . Consider  $r(y) = m_1(y) - m_2(y)$ . Then  $r(\alpha) = m_1(\alpha) - m_2(\alpha) = 0$ . But  $\deg(r) < \deg(m_1)$ , so we must have  $r(y) = 0$ . Hence,  $m_1(y) = m_2(y)$ .  $\square$



- PROOF OF 2) Suppose that  $m_\alpha(y)$  is reducible over  $\text{GF}(q)$ , say  $m_\alpha(y) = s(y)t(y)$  where  $s, t \in \text{GF}(q)[y]$  and  $1 \leq \deg(s), \deg(t) < \deg(m_\alpha)$ . Then  $m_\alpha(\alpha) = s(\alpha)t(\alpha) = 0$ , so either  $s(\alpha) = 0$  or  $t(\alpha) = 0$ . In either case we have a contradiction of the minimality of  $\deg(m_\alpha)$ . We conclude that  $m_\alpha(y)$  is irreducible over  $\text{GF}(q)$ .  $\square$

- PROOF OF 3) Recall that  $\text{GF}(q^m)$  is an  $m$ -dimensional vector space over  $\text{GF}(q)$ . So, the field elements  $1, \alpha, \alpha^2, \dots, \alpha^m$  are linearly dependent over  $\text{GF}(q)$ . Thus, we can write  $a_0 + a_1\alpha + a_2\alpha^2 + \dots + a_m\alpha^m = 0$  for some  $a_0, a_1, \dots, a_m \in \text{GF}(q)$  that are not all 0. Hence,  $\alpha$  is a root of the nonzero polynomial  $m(y) = a_0 + a_1y + a_2y^2 + \dots + a_my^m \in \text{GF}(q)[y]$  of degree  $\leq m$ . It follows that  $\deg(m_\alpha) \leq m$ .  $\square$

• PROOF OF 4) Let  $f(y) \in GF(q)[y]$ . By long division, we can write  $f(y) = l(y)m_\alpha(y) + r(y)$ , where  $l, r \in GF(q)[y]$  and  $\deg(r) < \deg(m_\alpha)$ .

Now,  $f(\alpha) = l(\alpha)m_\alpha(\alpha) + r(\alpha) = r(\alpha)$  (since  $m_\alpha(\alpha) = 0$ ).

Hence,  $f(\alpha) = 0 \iff r(\alpha) = 0$

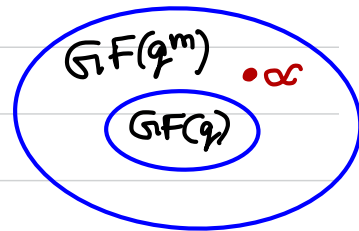
$\iff r(y) = 0$  (since  $\deg(r) < \deg(m_\alpha)$ )

$\iff m_\alpha(y) \mid f(y)$ .  $\square$

# V6b COMPUTING MINIMAL POLYNOMIALS

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- We will show that the roots of  $m_\alpha(y)$  are precisely the "conjugates" of  $\alpha$  over  $\text{GF}(q)$ .
- We'll need the following result.



**THEOREM** Let  $\alpha \in \text{GF}(q^m)$ . Then  $\alpha \in \text{GF}(q)$  iff  $\alpha^q = \alpha$ .

**PROOF** Since  $\beta^q = \beta$  for all  $\beta \in \text{GF}(q)$ , the elements of  $\text{GF}(q)$  are all roots of the polynomial  $Y^q - Y \in \text{GF}(q)[Y]$ . Since this polynomial has degree  $q$ , it can't have any other roots in  $\text{GF}(q^m)$ . Thus,  $\alpha^q = \alpha$  iff  $\alpha \in \text{GF}(q)$ .  $\square$

**DEFINITION** Let  $\alpha \in \text{GF}(q^m)$ . Let  $t$  be the smallest positive integer such that  $\alpha^{q^t} = \alpha$  (note:  $t \leq m$ ). Then the set of conjugates of  $\alpha$  w.r.t.  $\text{GF}(q)$  is  $C(\alpha) = \{\alpha, \alpha^q, \alpha^{q^2}, \dots, \alpha^{q^{t-1}}\}$ .

**NOTE** The  $t$  conjugates in  $C(\alpha)$  are distinct. This is because if  $\alpha^{q^i} = \alpha^{q^j}$  where  $0 \leq i < j \leq t-1$ , then  $\alpha^{q^j} - \alpha^{q^i} = 0$ , so  $(\alpha^{q^{j-i}} - \alpha)^{q^i} = 0$ . Hence  $\alpha^{q^{j-i}} - \alpha = 0$ , so  $\alpha^{q^{j-i}} = \alpha$ , which contradicts the minimality of  $t$ .

**THEOREM** Let  $\alpha \in \text{GF}(q^m)$ . Then the minimal polynomial of  $\alpha$  over  $\text{GF}(q)$  is  $m(y) = \prod_{\beta \in C(\alpha)} (y - \beta) = (y - \alpha)(y - \alpha^q)(y - \alpha^{q^2}) \cdots (y - \alpha^{q^{t-1}})$ .

**PROOF** i) Clearly,  $m(y)$  is monic and  $m(\alpha) = 0$ .

ii) Let  $f \in \text{GF}(q)[y]$ ,  $f \neq 0$ , with  $f(\alpha) = 0$ . Let's prove that  $\deg(f) \geq t$ .

Let  $f(y) = \sum_{i=0}^a f_i y^i$ . Then  $f(\alpha^q) = \sum_{i=0}^a f_i \alpha^{iq} = \left( \sum_{i=0}^a f_i \alpha^i \right)^q = f(\alpha)^q = 0$ .

So,  $\alpha, \alpha^q, \alpha^{q^2}, \dots, \alpha^{q^{t-1}}$  are roots of  $f$ . Hence  $\deg(f) \geq t$ .



PROOF (cont'd)

iii) Let  $m(y) = \prod_{\beta \in C(\alpha)} (y - \beta) = \sum_{i=0}^t m_i y^i$ . Then  $m(y) \in \text{GF}(q^n)[y]$ .

We need to prove that  $m(y) \in \text{GF}(q)[y]$ .

$$\begin{aligned} \text{Now, } m(y)^q &= \prod_{\beta \in C(\alpha)} (y - \beta)^q = \prod_{\beta \in C(\alpha)} (y^q - \beta^q) = \prod_{\beta \in C(\alpha)} (y^q - \beta) \\ &= m(y^q) = \sum_{i=0}^t m_i y^{iq}. \quad (*) \end{aligned}$$

$$\text{Also, } m(y)^q = \left( \sum_{i=0}^t m_i y^i \right)^q = \sum_{i=0}^t m_i^q y^{iq}. \quad (**)$$

Comparing coefficients of  $y^{iq}$  of  $(*)$  and  $(**)$  yields  $m_i^q = m_i$  for  $0 \leq i \leq t$ . Thus,  $m_i \in \text{GF}(q)$ , and so  $m(y) \in \text{GF}(q)[y]$ .

iv) We conclude that  $m(y)$  is a monic polynomial of smallest degree in  $\text{GF}(q)[y]$  that has  $\alpha$  as a root.  $\square$

**EXAMPLE** Consider  $\text{GF}(2^4) = \mathbb{Z}_2[x]/(x^4+x+1)$ . Find the minimal polynomial of  $\beta = x^3+x^2$  over  $\mathbb{Z}_2$ . (Here,  $q=2, m=4$ ).

**SOLUTION** When doing computations by hand, it's useful to have a generator  $\alpha$  of  $\text{GF}(2^4)^*$ , and a table of powers of  $\alpha$ . It turns out that  $\alpha=x$  is a generator of  $\text{GF}(2^4)^*$ . Now,  $\beta = \alpha^6$ . Hence  $C(\beta) = \{\alpha^6, \alpha^{12}, \alpha^9, \alpha^3\}$  (so  $t=4$ ).

$$\begin{aligned} \text{Thus, } m_\beta(y) &= (y-\alpha^6)(y-\alpha^{12})(y-\alpha^9)(y-\alpha^3) \\ &= [y^2 - (\alpha^6 + \alpha^{12})y + \alpha^{18}][y^2 - (\alpha^9 + \alpha^3)y + \alpha^{12}] \\ &= [y^2 + \alpha^4 y + \alpha^3][y^2 + \alpha y + \alpha^{12}] \\ &= y^4 + (\alpha + \alpha^4)y^3 + (\alpha^{12} + \alpha^5 + \alpha^3)y^2 + (\alpha^{16} + \alpha^4)y + \alpha^{15} \\ &= \underline{y^4 + y^3 + y^2 + y + 1}. \end{aligned}$$

$$\begin{aligned} \alpha^0 &= 1 \\ \alpha^1 &= \alpha \\ \alpha^2 &= \alpha^2 \\ \alpha^3 &= \alpha^3 \\ \alpha^4 &= \alpha + 1 \\ \alpha^5 &= \alpha^2 + \alpha \\ \alpha^6 &= \alpha^3 + \alpha^2 \\ \alpha^7 &= \alpha^3 + \alpha + 1 \\ \alpha^8 &= \alpha^2 + 1 \\ \alpha^9 &= \alpha^3 + \alpha \\ \alpha^{10} &= \alpha^2 + \alpha + 1 \\ \alpha^{11} &= \alpha^3 + \alpha^2 + \alpha \\ \alpha^{12} &= \alpha^3 + \alpha^2 + \alpha + 1 \\ \alpha^{13} &= \alpha^3 + \alpha^2 + 1 \\ \alpha^{14} &= \alpha^3 + 1 \\ \alpha^{15} &= 1 \end{aligned}$$

# V6C FACTORIZING $x^n - 1$ OVER $\text{GF}(q)$ [Part 1]

GOAL Describe the factorization of  $x^n - 1$  over  $\text{GF}(q)$ . From this, we will see how canonical generators  $g(x)$  can be chosen so that we have a non-trivial lower bound on the distance of the cyclic code generated by  $g(x)$ .

PRELIMINARIES Let  $p$  be the characteristic of  $\text{GF}(q)$ . If  $\gcd(n, q) \neq 1$ , then write  $n = \bar{n} p^l$  where  $l \geq 1$  and  $\gcd(\bar{n}, q) = 1$ .  
Then  $x^n - 1 = x^{\bar{n} p^l} - 1 = (x^{\bar{n}} - 1)^{p^l}$ . So, wlog, we shall assume  $\gcd(n, q) = 1$ .

NOTATION Let  $m$  be the smallest positive integer such that  $q^m \equiv 1 \pmod{n}$ , i.e.  $n \mid (q^m - 1)$ . [FACT: such an  $m$  exists.]

Let  $\alpha$  be a generator of  $\text{GF}(q^m)^*$ .

Let  $\beta = \alpha^{(q^m - 1)/n}$ , and note that  $\beta \in \text{GF}(q^m)$ .

- Also,  $\text{ord}(\beta) = n$ , and so  $1, \beta, \beta^2, \dots, \beta^{n-1}$  are distinct.

Furthermore,  $(\beta^i)^n = (\beta^n)^i = 1^i = 1$  for each  $0 \leq i \leq n-1$ .

Hence,  $1, \beta, \beta^2, \dots, \beta^{n-1}$  are roots of  $x^n - 1$ . So, the complete factorization of  $x^n - 1$  over  $\text{GF}(q^m)$  is  $x^n - 1 = (x-1)(x-\beta)(x-\beta^2) \cdots (x-\beta^{n-1})$ .

- However, we seek the factorization of  $x^n - 1$  over  $\text{GF}(q)$ .

- Consider  $\beta^i$ , where  $0 \leq i \leq n-1$ .

Since  $\beta^i$  is a root of  $x^n - 1$ , we have  $m_{\beta^i}(x) \mid (x^n - 1)$ .

Also, the roots of  $m_{\beta^i}(x)$  are  $C(\beta^i) = \{\beta^i, \beta^{iq}, \beta^{iq^2}, \dots, \beta^{iq^{t-1}}\}$ , where  $t$  is the smallest positive integer such that

$$iq^t \equiv i \pmod{n}.$$



## CYCLOTOMIC COSETS

- The discussion on the previous slides motivates the following definition.

**DEFINITION** Suppose that  $\gcd(n, q) = 1$ , and let  $0 \leq i \leq n-1$ . The cyclotomic coset of  $q \bmod n$  containing  $i$  is

$$C_i = \{i, iq \bmod n, iq^2 \bmod n, \dots, iq^{t-1} \bmod n\},$$

where  $t$  is the smallest positive integer such that  $iq^t \equiv i \pmod{n}$ .

Also,  $C = \{C_i : 0 \leq i \leq n-1\}$  is the set of cyclotomic cosets of  $q \bmod n$ .

- EXAMPLE** The cyclotomic cosets of  $2 \bmod 15$  ( $q=2, n=15$ ) are:

$$C_0 = \{0\}, \quad C_1 = \{1, 2, 4, 8\} = C_2 = C_4 = C_8,$$

$$C_3 = \{3, 6, 12, 9\} = C_6 = C_{12} = C_9, \quad C_5 = \{5, 10\} = C_{10},$$

$$C_7 = \{7, 14, 13, 11\} = C_{14} = C_{13} = C_{11}. \quad \text{Hence } C = \{C_0, C_1, C_3, C_5, C_7\}.$$

• As the example suggests, if  $j \in C_i$ , then  $C_j = C_i$ .

• NOTE:  $m_{\beta^i}(x) = (x - \beta^i)(x - \beta^{iq})(x - \beta^{iq^2}) \cdots (x - \beta^{iq^{t-1}}) = \prod_{j \in C_i} (x - \beta^j)$

is a monic irreducible factor of  $x^n - 1$  over  $\text{GF}(q)$  of degree  $|C_i|$ .

• This proves the following theorem.

**THEOREM** Suppose that  $\gcd(n, q) = 1$ .

- 1) The number of monic irreducible factors of  $x^n - 1$  over  $\text{GF}(q)$  is equal to the number of (distinct) cyclotomic cosets of  $q \bmod n$ .
- 2) The number of monic irreducible factors of degree  $d$  is equal to the number of (distinct) cyclotomic cosets of  $q \bmod n$  of size  $d$ .

## V6d FACTORING $x^n - 1$ OVER $GF(q)$ [Part 2]

**THEOREM** Suppose  $\gcd(n, q) = 1$ . Let  $m$  be the smallest positive integer such that  $q^m \equiv 1 \pmod{n}$ , and let  $\beta \in GF(q^m)$  be an element of order  $n$ . Then the monic irreducible factors of  $x^n - 1$  over  $GF(q)$  are  $\{m_{\beta^i}(x) : 0 \leq i \leq n-1\}$ , where

$$m_{\beta^i}(x) = \prod_{j \in C_i} (x - \beta^j).$$

NOTE: If  $j \in C_i$ , then  $m_{\beta^j}(x) = m_{\beta^i}(x)$ .

EXAMPLE Factor  $x^{15}-1$  over  $\mathbb{Z}_2$ . (Here  $q=2$ ,  $n=15$ .)

SOLUTION • The cyclotomic cosets of 2 mod 15 are  $C_0=\{0\}$ ,  $C_1=\{1,2,4,8\}$ ,  $C_3=\{3,6,12,9\}$ ,  $C_5=\{5,10\}$ ,  $C_7=\{7,14,13,11\}$ . So,  $x^{15}-1$  has 5 irreducible factors over  $\mathbb{Z}_2$ , one of degree 1, one of degree 2, and three of degree 4.

• The smallest  $m$  for which  $2^m \equiv 1 \pmod{15}$  is  $m=4$ .

• We need an element  $\beta \in \text{GF}(2^4)$  of order 15. ↗ see slide 145

Let's take  $\beta = \alpha$ , since  $\alpha$  is a generator for  $\text{GF}(2^4) = \mathbb{Z}_2[\alpha]/(\alpha^4 + \alpha + 1)$ .

• We then compute:  $m_{\beta^0}(x) = x+1$   $C_0 = \{0\}$

$$m_{\beta}(x) = x^4 + x + 1 \quad \text{color: blue } C_1 = \{1, 2, 4, 8\}$$

See slide 145 ↗  $m_{\beta^3}(x) = x^4 + x^3 + x^2 + x + 1$   $C_3 = \{3, 6, 12, 9\}$

$$m_{\beta^5}(x) = x^2 + x + 1 \quad \text{color: blue } C_5 = \{5, 10\}$$

$$m_{\beta^7}(x) = x^4 + x^3 + 1 \quad \text{color: blue } C_7 = \{7, 14, 13, 11\}.$$

• Thus,  $x^{15}-1 = (x+1)(x^2+x+1)(x^4+x+1)(x^4+x^3+1)(x^4+x^3+x^2+x+1)$ .

EXAMPLE Determine the number of cyclic subspaces of  $V_{90}(\mathbb{Z}_3)$ .

SOLUTION • First, we observe that  $x^{90}-1 = (x^{10}-1)^9$ .

• To determine the factorization pattern of  $x^{10}-1$  over  $\mathbb{Z}_3$ , we find the cyclotomic cosets of  $q=3$  modulo  $n=10$ :

$$C_0 = \{0\}, \quad C_1 = \{1, 3, 9, 7\}, \quad C_2 = \{2, 6, 8, 4\}, \quad C_5 = \{5\}.$$

• Thus,  $x^{90}-1 = [f_0 \cdot f_1 \cdot f_2 \cdot f_5]^9$ , where  $f_0, f_1, f_2, f_5 \in \mathbb{Z}_3[x]$  are monic irred. polynomials over  $\mathbb{Z}_3$ , and  $\deg(f_0)=1$ ,  $\deg(f_1)=4$ ,  $\deg(f_2)=4$ ,  $\deg(f_5)=1$ .

• Hence, the number of monic factors of  $x^{90}-1$  over  $\mathbb{Z}_3$  is  $10 \times 10 \times 10 \times 10 = \underline{10000}$ .  
This is also the number of cyclic subspaces of  $V_{90}(\mathbb{Z}_3)$ .

NOTE  $f_i(x) = m_{\beta^i}(x)$ , where  $\beta$  is an element of order 10 in  $GF(3^4)$ .

In fact,  $x^{10}-1 = (x+1)(x+2)(x^4+x^3+x^2+x+1)(x^4+2x^3+x^2+2x+1)$ .

## V6e BCH CODES: DEFINITION

- Discovered in 1960 by R.C. Bose and D. Ray-Chaudhuri, and independently in 1959 by A. Hocquenghem.

- A BCH code is a cyclic code that is constructed so that a non-trivial lower bound is known on its distance.

SETUP Suppose  $\gcd(n, q) = 1$ .

- Let  $m$  be the smallest positive integer such that  $q^m \equiv 1 \pmod{n}$ .
- Let  $\alpha$  be a generator of  $\text{GF}(q^m)^*$ , and let  $\beta = \alpha^{(q^m - 1)/n}$  (so  $\text{ord}(\beta) = n$ ).
- Let  $m_{\beta^i}(x)$  denote the minimal polynomial of  $\beta^i$  over  $\text{GF}(q)$ , for  $0 \leq i \leq n-1$ . Recall that  $m_{\beta^i}(x) \mid (x^n - 1)$ .
- We will let  $m_{\beta^i}(x) = m_{\beta^{i \bmod n}}(x)$  for  $i \geq n$  (since  $\beta^i = \beta^{i \bmod n}$ ).

**DEFINITION** A BCH code  $C$  over  $GF(q)$  of length  $n$  and designed distance  $\delta$  is a cyclic code of length  $n$  over  $GF(q)$  with canonical generator

$$g(x) = \text{lcm} \{ m_{\beta^i}(x) : a \leq i \leq a + \delta - 2 \}, \text{ for some integer } a.$$

**NOTES** 1)  $\text{lcm} \{ 3, 3, 5, 7, 7, 7, 11, 11 \} = 3 \times 5 \times 7 \times 11$ .

2) Since each  $m_{\beta^i}(x)$  is a monic irreducible factor of  $x^n - 1$ , it follows that  $g(x)$  is a monic divisor of  $x^n - 1$ . Hence  $g(x)$  is indeed the canonical generator for a cyclic code of length  $n$  over  $GF(q)$ .

3) Among the roots of  $g(x)$  are the  $\delta - 1$  consecutive powers of  $\beta$ :

$$\beta^a, \beta^{a+1}, \beta^{a+2}, \dots, \beta^{a+\delta-2}.$$

4) BCH bound :  $d(C) \geq \delta$ . [Proof in V6f]

5) If  $a=1$ , the BCH code is narrow-sense.

EXAMPLE (BCH code) Let  $q=3$ ,  $n=13$ . Then  $m=3$  since  $3^3 \equiv 1 \pmod{13}$ .

- Consider  $\mathbb{GF}(3^3) = \mathbb{Z}_3[x]/(x^3 + 2x^2 + 1)$ .
- Then  $\alpha$  is a generator of  $\mathbb{GF}(3^3)^*$  (see next slide).
- Also,  $\beta = \alpha^2$  has order 13.
- Compute the cyclotomic cosets of  $q=3 \pmod{n=13}$ :

$$C_0 = \{0\} \text{ ————— } m_{\beta^0}(x) = x + 2.$$

$$C_1 = \{1, 3, 9\} \text{ ————— } m_{\beta^1}(x) = x^3 + 2x^2 + 2x + 2.$$

$$C_2 = \{2, 6, 5\} \text{ ————— } m_{\beta^2}(x) = x^3 + 2x + 2.$$

$$C_4 = \{4, 12, 10\} \text{ ————— } m_{\beta^4}(x) = x^3 + x^2 + x + 2.$$

$$C_7 = \{7, 8, 11\} \text{ ————— } m_{\beta^7}(x) = x^3 + x^2 + 2.$$





EXAMPLE (cont'd)

$$\begin{aligned}
 \bullet \ m_{\beta^2}(x) &= (x - \beta^2)(x - \beta^6)(x - \beta^5) \\
 &= (x - \alpha^4)(x - \alpha^{12})(x - \alpha^{10}) \\
 &= [(x^2 - (\alpha^4 + \alpha^{12})x + \alpha^{16})][x - \alpha^{10}] \\
 &= [x^2 + \alpha^{10}x + \alpha^{16}][x + \alpha^{23}] \\
 &= x^3 + (\alpha^{10} + \alpha^{23})x^2 + (\alpha^{16} + \alpha^{33})x + \alpha^{39} \\
 &= \underline{x^3 + 2x + 2}.
 \end{aligned}$$

Sample  
minimal  
polynomial  
calculation

$$\begin{aligned}
 \bullet \ \text{Let } g(x) &= m_{\beta^0}(x) \cdot m_{\beta^1}(x) \cdot m_{\beta^2}(x) \\
 &= \underline{x^7 + x^6 + 2x^5 + x^4 + 2x + 2}.
 \end{aligned}$$

• The roots of  $g(x)$  are  $\beta^0, \beta^1, \beta^3, \beta^9, \beta^2, \beta^6, \beta^5$ .

Among these roots are  $\beta^0, \beta^1, \beta^2, \beta^3$ , so

$$\delta = 5 \Rightarrow d \geq 5.$$

• Thus,  $g(x)$  is the canonical generator for a (13, 6)-BCH code over  $GF(3)$  of distance at least 5.

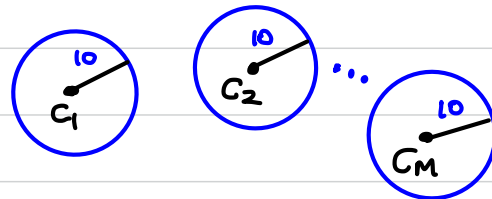
$\alpha^0 = 1$	$\alpha^{13} = 2$
$\alpha^1 = \alpha$	$\alpha^{14} = 2\alpha$
$\alpha^2 = \alpha^2$	$\alpha^{15} = 2\alpha^2$
$\alpha^3 = 2 + \alpha^2$	$\alpha^{16} = 1 + 2\alpha^2$
$\alpha^4 = 2 + 2\alpha + \alpha^2$	$\alpha^{17} = 1 + \alpha + 2\alpha^2$
$\alpha^5 = 2 + 2\alpha$	$\alpha^{18} = 1 + \alpha$
$\alpha^6 = 2\alpha + 2\alpha^2$	$\alpha^{19} = \alpha + \alpha^2$
$\alpha^7 = 1 + \alpha^2$	$\alpha^{20} = 2 + 2\alpha^2$
$\alpha^8 = 2 + \alpha + \alpha^2$	$\alpha^{21} = 1 + 2\alpha + 2\alpha^2$
$\alpha^9 = 2 + 2\alpha + 2\alpha^2$	$\alpha^{22} = 1 + \alpha + \alpha^2$
$\alpha^{10} = 1 + 2\alpha + \alpha^2$	$\alpha^{23} = 2 + \alpha + 2\alpha^2$
$\alpha^{11} = 2 + \alpha$	$\alpha^{24} = 1 + 2\alpha$
$\alpha^{12} = 2\alpha + \alpha^2$	$\alpha^{25} = \alpha + 2\alpha^2$
	$\alpha^{26} = 1$

# V6f BCH BOUND

EXAMPLE Does there exist a block code with parameters  $q=2$ ,  $n=127$ ,  $M=2^{64}$ ,  $d \geq 21$ ? [slide 25]

The corresponding sphere packing problem is: Can we place  $M=2^{64}$  spheres of radius  $e = \lfloor \frac{21-1}{2} \rfloor = 10$  in  $V_{127}(\mathbb{Z}_2)$  so that no two spheres overlap?

$V_{127}(\mathbb{Z}_2)$



SOLUTION YES! We will describe a BCH code with parameters  $q=2$ ,  $n=127$ ,  $k=64$ ,  $\delta=21$ .

We have  $m=7$  since  $2^7 \equiv 1 \pmod{127}$ .

EXAMPLE (cont'd) The cyclotomic cosets of 2 mod 127 are:

$$C_0 = \{0\}$$

$$C_1 = \{1, 2, 4, 8, 16, 32, 64\}$$

$$C_3 = \{3, 6, 12, 24, 48, 96, 65\}$$

$$C_5 = \{5, 10, 20, 40, 80, 33, 66\}$$

$$C_7 = \{7, 14, 28, 56, 112, 97, 67\}$$

$$C_9 = \{9, 18, 36, 72, 17, 34, 68\}$$

$$C_{11} = \{11, 22, 44, 88, 49, 98, 69\}$$

$$C_{13} = \{13, 26, 52, 104, 81, 35, 70\}$$

$$C_{15} = \{15, 30, 60, 120, 113, 99, 71\}$$

$$C_{19} = \{19, 38, 76, 25, 50, 100, 73\}$$

⋮

- Let  $\beta$  be an element of order 127 in  $\text{GF}(2^7)^*$ .
- Then  $g(x) = m_\beta(x) \cdot m_{\beta^3}(x) \cdot m_{\beta^5}(x) \cdot m_{\beta^7}(x) \cdot m_{\beta^9}(x) \cdot m_{\beta^{11}}(x) \cdot m_{\beta^{13}}(x) \cdot m_{\beta^{15}}(x) \cdot m_{\beta^{19}}(x)$  is a degree-63 monic divisor of  $x^{127} - 1$  over  $\text{GF}(2)$ .
- The roots of  $g(x)$  include  $\beta^i$ ,  $1 \leq i \leq 20$ .
- Thus,  $g(x)$  is the canonical generator for a  $(127, 64)$ -binary BCH code with designed distance  $\delta = 21$  (so distance  $\geq 21$ ).

# VANDER MONDE MATRICES

**DEFINITION** A Vandermonde matrix over a field  $F$  is a matrix of the form  $A(x_1, x_2, \dots, x_t) = \begin{bmatrix} 1 & 1 & \dots & 1 \\ x_1 & x_2 & \dots & x_t \\ x_1^2 & x_2^2 & \dots & x_t^2 \\ \vdots & \vdots & & \vdots \\ x_1^{t-1} & x_2^{t-1} & \dots & x_t^{t-1} \end{bmatrix}_{t \times t}$ , where  $x_1, x_2, \dots, x_t \in F$ .

**THEOREM**  $\det(A(x_1, x_2, \dots, x_t)) \neq 0$  iff  $x_1, x_2, \dots, x_t$  are distinct.

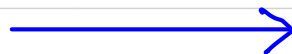
**PROOF** Perform the following row operations on  $A$ :

$$\left\{ \begin{array}{l} R_t \leftarrow R_t - x_1 R_{t-1} \\ \vdots \\ R_3 \leftarrow R_3 - x_1 R_2 \\ R_2 \leftarrow R_2 - x_1 R_1 \end{array} \right.$$

to get  $A_1 =$

$$\begin{bmatrix} 1 & 1 & 1 & \dots & 1 \\ 0 & x_2 - x_1 & x_3 - x_1 & \dots & x_t - x_1 \\ 0 & x_2^2 - x_1 x_2 & x_3^2 - x_1 x_3 & \dots & x_t^2 - x_1 x_t \\ \vdots & \vdots & \vdots & & \vdots \\ 0 & x_2^{t-1} - x_1 x_2^{t-2} & x_3^{t-1} - x_1 x_3^{t-2} & \dots & x_t^{t-1} - x_1 x_t^{t-2} \end{bmatrix}.$$

Now compute  $\det(A_1)$  by expanding along the first column:



PROOF (cont'd)

$$\det(A) = \det(A_1) = (x_2 - x_1)(x_3 - x_1) \cdots (x_t - x_1) \cdot \det$$

$$\begin{bmatrix} 1 & 1 & \cdots & 1 \\ x_2 & x_3 & \cdots & x_t \\ x_2^2 & x_3^2 & \cdots & x_t^2 \\ \vdots & \vdots & & \vdots \\ x_2^{t-2} & x_3^{t-2} & \cdots & x_t^{t-2} \end{bmatrix}.$$

(t-1) × (t-1)

$$\text{By induction, } \det(A) = \prod_{1 \leq i < j \leq t} (x_j - x_i).$$

Thus,  $\det(A) \neq 0$  iff  $x_1, x_2, \dots, x_t$  are distinct.  $\square$

**COROLLARY** The Vandermonde matrix  $A(x_1, x_2, \dots, x_t)$  is non-singular iff  $x_1, x_2, \dots, x_t$  are distinct.

### THEOREM (BCH bound)

Let  $C$  be an  $(n, k)$ -BCH code over  $\text{GF}(q)$  with designed distance  $\delta$ . Then  $d(C) \geq \delta$ .

PROOF • Let  $g(x)$  be the canonical generator for  $C$ . For simplicity, we'll assume that  $C$  is narrow-sense (so  $a=1$ ). Hence,

$$g(x) = \text{lcm} \{ m_{\beta^i}(x) : 1 \leq i \leq \delta-1 \},$$

where  $\beta \in \text{GF}(q^m)$  has order  $n$ .

• Now, let  $r \in V_n(\text{GF}(q))$ .

$$\text{Then } r \in C \iff g(x) \mid r(x) \iff m_{\beta^i}(x) \mid r(x) \iff r(\beta^i) = 0 \quad \forall 1 \leq i \leq \delta-1.$$



PROOF (cont'd)

• Let  $H_1 = \begin{bmatrix} 1 & \beta & \beta^2 & \dots & \beta^{n-1} \\ 1 & \beta^2 & (\beta^2)^2 & \dots & (\beta^2)^{n-1} \\ 1 & \beta^3 & (\beta^3)^2 & \dots & (\beta^3)^{n-1} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & \beta^{\delta-1} & (\beta^{\delta-1})^2 & \dots & (\beta^{\delta-1})^{n-1} \end{bmatrix}_{(\delta-1) \times n}$ .

• Now,  $r \in C \Leftrightarrow r(\beta^i) = 0 \forall 1 \leq i \leq \delta-1 \Leftrightarrow H_1 r^T = 0$ .

• Furthermore, no  $t = \delta-1$  columns of  $H_1$  are linearly dependent over  $GF(q^m)$  since

$$\det \begin{bmatrix} \beta^{i_1} & \beta^{i_2} & \dots & \beta^{i_t} \\ (\beta^2)^{i_1} & (\beta^2)^{i_2} & \dots & (\beta^2)^{i_t} \\ \vdots & \vdots & \ddots & \vdots \\ (\beta^{\delta-1})^{i_1} & (\beta^{\delta-1})^{i_2} & \dots & (\beta^{\delta-1})^{i_t} \end{bmatrix} = \beta^{i_1} \beta^{i_2} \dots \beta^{i_t} \cdot \det \begin{bmatrix} 1 & 1 & \dots & 1 \\ \beta^{i_1} & \beta^{i_2} & \dots & \beta^{i_t} \\ \vdots & \vdots & \ddots & \vdots \\ (\beta^{i_1})^{\delta-2} & (\beta^{i_2})^{\delta-2} & \dots & (\beta^{i_t})^{\delta-2} \end{bmatrix}$$

$$= \left( \prod_{j=1}^t \beta^{i_j} \right) \cdot \det \underbrace{(A(\beta^{i_1}, \beta^{i_2}, \dots, \beta^{i_t}))}_{\text{Vandermonde matrix}} \neq 0, \text{ since } \beta^{i_1}, \beta^{i_2}, \dots, \beta^{i_t} \text{ are distinct.}$$



PROOF (cont'd)

- Since  $\text{GF}(q) \subseteq \text{GF}(q^m)$ , we also have that no  $\delta-1$  columns of  $H_1$  are linearly dependent over  $\text{GF}(q)$ .
- Now, if  $c \in C$ ,  $c \neq 0$ ,  $w(c) < \delta$ , then  $H_1 c^T = 0$  gives 0 as a non-trivial linear combination over  $\text{GF}(q)$  of  $\delta-1$  (or fewer) columns of  $H_1$ , contradicting what we just proved.
- Hence, every nonzero codeword in  $C$  has weight  $\geq \delta$ .
- Thus,  $d(C) \geq \delta$ .  $\square$



# V6g EXAMPLES OF BCH CODES

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- EXAMPLE #1 • Let  $q=2$ ,  $n=2^t-1$  where  $t \geq 2$ . Then  $\gcd(n, q)=1$  and  $m=t$ .
- Let  $\beta$  be a generator of  $GF(2^t)^*$ .
  - The cyclotomic cosets of 2 mod  $n$  are  $C_0=\{0\}$ ,  $C_1=\{1, 2, 4, \dots, 2^{t-1}\}$ , .....
  - Let  $g(x) = m_p(x) = (x-\beta)(x-\beta^2)(x-\beta^4) \dots (x-\beta^{2^{t-1}})$ .
  - Then  $g(x)$  is the canonical generator for a  $(2^t-1, 2^t-1-t)$ -binary BCH code  $C$  with designed distance  $\delta=3$ . So,  $d(C) \geq 3$ .

- A PCM for  $C$  must look like  $H = \left[ \begin{array}{c|c|c|c|c} | & | & | & | & \dots & | \end{array} \right]_{t \times (2^t-1)}$ .  
all nonzero vectors in  $V_t(\mathbb{Z}_2)$

So,  $C$  is a cyclic binary Hamming code (and  $d(C)=3$ ).

- Hence, all binary Hamming codes are cyclic, up to equivalence.

EXAMPLE #2 • Let  $q=2$  and  $n=23$ . Then  $m=11$  [ $2^{11} \equiv 1 \pmod{23}$ ].

• The cyclotomic cosets of 2 mod 23 are:

$$C_0 = \{0\}, C_1 = \{1, 2, 4, 8, 16, 9, 18, 13, 3, 6, 12\}, C_5 = \{5, 10, 20, 17, 11, 22, 21, 19, 15, 7, 14\}.$$

$$\text{In fact } x^{23}-1 = (x+1)(x^{11}+x^9+x^7+x^6+x^5+x+1)(x^{11}+x^{10}+x^6+x^5+x^4+x^2+1).$$

• Let  $\beta$  be an element of order 23 in  $GF(2^{11})^*$ . Let  $g(x) = m_\beta(x)$ .

Then  $g(x)$  is the canonical generator for a (23,12)-binary BCH code C of designed distance  $\delta=5$ . Hence  $d(C) \geq 5$ .

Furthermore,  $g(x) \in C$ , so  $d(C) \leq 7$ .

• FACT C is equivalent to the binary Golay code  $C_{23}$ .

So,  $C_{23}$  is equivalent to a cyclic code.

EXAMPLE #3 • Let  $q=2$ ,  $n=2^{16}-1=65535$ . Then  $\gcd(n,q)=1$  and  $m=16$ .

• Let  $GF(2^{16}) = \mathbb{Z}_2[\alpha] / (\alpha^{16} + \alpha^5 + \alpha^3 + \alpha^2 + 1)$ .

• FACT:  $\alpha$  is a generator of  $GF(2^{16})^*$ . Let  $\beta = \alpha$ .

- The cyclotomic cosets of 2 mod 65535 are:  $C_0 = \{0\}$ ,  
 $C_1 = \{1, 2, 4, 8, 16, \dots\}$ ,  $C_3 = \{3, 6, 12, 24, \dots\}$ ,  $C_5 = \{5, 10, 20, \dots\}$ ,  $C_7 = \{7, 14, \dots\}$ ,  
 $C_9 = \{9, 18, \dots\}$ ,  $C_{11} = \{11, 22, \dots\}$ ,  $C_{13} = \{13, \dots\}$ ,  $C_{15} = \{15, \dots\}$ ,  
 $C_{17} = \{17, \dots\}$ ,  $C_{19} = \{19, \dots\}$ ,  $C_{21} = \{21, \dots\}$ ,  $C_{23} = \{23, \dots\}$ , .....
- FACT  $C_1, C_3, C_5, \dots, C_{23}$  are distinct and have size 16.

• Let  $g(x) = \prod_{i \in \{1, 3, 5, \dots, 23\}} m_{\beta^i}(x)$ . Then  $\deg(g) = 12 \times 16 = 192$ .

•  $g(x)$  is the canonical generator for a (65535, 65343)-binary  
BCH code  $C$  with  $\delta=25$ , so  $d(C) \geq 25$ .



EXAMPLE #3 (cont'd)

**THEOREM** (Shortening a code) Let  $C$  be a systematic  $(n, k, d)$ -code over  $\text{GF}(q)$ , and let  $t < k$ . Let  $C'$  be the code obtained by "shortening"  $C$  in its first  $t$  coordinate positions, i.e. taking all codewords in  $C$  that have 0 in the first  $t$  coordinate positions, and then deleting those coordinates. Then  $C'$  is an  $(n-t, k-t, d')$ -code over  $\text{GF}(q)$  with  $d' \geq d$ .

PROOF Let  $G = \left[ \begin{array}{c|c} \begin{matrix} 1 & & & \\ & 1 & & \\ & & \ddots & \\ & & & 1 \end{matrix} & A \end{array} \right]_{k \times n}$  be a standard-form G.M for  $C$ .

Let  $G'$  be the matrix obtained by deleting the first  $t$  rows of  $G$ , and then deleting the first  $t$  columns. Then  $G'$  is a  $(k-t) \times (n-t)$  G.M for  $C'$  with  $d' \geq d$ .  $\square$



### EXAMPLE #3 (cont'd)

- $C$ :  $(65535, 65343)$ -binary BCH code with  $d \geq 25$ .

- $C$  is systematic, since  $C$  has a G.M.  $G =$

$$G = \begin{bmatrix} \boxed{g(x)} & & & \\ & \boxed{g(x)} & & \\ & & \boxed{g(x)} & \\ & & & \ddots \\ & & & & \boxed{g(x)} \end{bmatrix}.$$

- Consider the shortened code  $C'$  obtained by shortening  $C$  by  $t=33135$ .

- Then  $C'$  is a  $(32400, 32208)$ -binary code with distance  $d' \geq 25$ .

- $C'$  is used (together with an LDPC code) in the DVB-S2 standard for digital video broadcasting—satellite.

### EXAMPLE #4 (QR codes)

- 1) • Let  $q=2$  and  $n=15$ , so  $\gcd(n,q)=1$  and  $m=4$ .  
 • Let  $GF(2^4) = \mathbb{Z}_2[\alpha]/(\alpha^4 + \alpha + 1)$  and  $\beta = \alpha$ . Then  $\text{ord}(\beta) = 15$ .  
 • Let  $g(x) = m_\beta(x) \cdot m_{\beta^3}(x) \cdot m_{\beta^5}(x) = x^{10} + x^8 + x^5 + x^4 + x^2 + x + 1$ . [see slide 151]  
 • Then  $g(x)$  is the canonical generator for a (15,5)-binary BCH code  $C$  with  $\delta=7$  (since  $\beta, \beta^2, \beta^3, \beta^4, \beta^5, \beta^6$  are roots of  $g(x)$ ). In fact,  $d(C)=7$ .  
 •  $C$  is used in QR codes to encode the "format data". [There are 32 formats]

- 2) •  $g(x) = x^{11} + x^9 + x^7 + x^6 + x^5 + x + 1$  is the canonical generator for a  $(23,12,7)$ -BCH code  $B_{23}$  that is equivalent to  $C_{23}$ .  
 • Let  $E_{23}$  be the set of even-weight codewords in  $B_{23}$ . Then  $E_{23}$  is a  $(23,11,8)$ -binary cyclic code with canonical generator  $g(x) \cdot (x+1)$ .  
 • Let  $S_{23}$  be the (18,6,8)-binary code obtained by shortening  $E_{23}$  by  $t=5$ .  
 •  $S_{23}$  is used to encode the "version data". [There are 34 versions]



#### EXAMPLE #4 (cont'd)

3) A  $(255, 231, 25)$ -RS code over  $\text{GF}(2^8)$  is used for the payload, more precisely a shortened  $(36, 12, 25)$ -code over  $\text{GF}(2^8)$  ( $t=219$ ), and a shortened  $(37, 13, 25)$ -code over  $\text{GF}(2^8)$  ( $t=218$ ). [see slide 175]

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#### DECODING BCH CODES

Several efficient algorithms have been designed for decoding BCH codes. We don't have the time to study them. Instead, we'll study a decoding algorithm for a family of BCH codes called Reed-Solomon (RS) codes.